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# Areal features and linguistic reconstruction in Africa

Larry M. Hyman<sup>1</sup>, Peter S. E. Jenks, Geoffrey Bacon, Nicolas Baier, Emily Clem<sup>2</sup>, Matthew Faytak, Spencer Lamoureux, Florian Lionnet, John Merrill, Nicholas Rolle, and Hannah Sande<sup>3</sup>

<sup>1</sup>hyman@berkeley.edu, <sup>2</sup>eclem@berkeley.edu, <sup>3</sup>sande570@berkeley.edu

University of California, Berkeley

8th World Congress of African Linguistics Workshop 3: Areal Phenomena in Northern Sub-Saharan Africa 23 August 2015

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Some scholars have claimed that Africa as a whole can be considered a linguistic area

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- Some scholars have claimed that Africa as a whole can be considered a linguistic area
  - Heine and Leyew (2008): 11 "African" linguistic properties
    - Creissels et al. (2008): Africa forms a linguistic area based on morphosyntactic data

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 Others reject the idea of Africa as a single linguistic area, but identify smaller linguistic areas on the continent

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  - Creissels (2015): West Africa is a linguistic area based on morphosyntactic tone and other features.
- More detailed study of the linguistic geography of Africa is needed in order to identify both macro- and micro-areas (Heine and Nurse, 2008)

#### Areal features

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 Based on data from 243 tone systems and 74 vowel systems, we argue that Africa as a whole does not form a unified linguistic area

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- Likewise, these data do not provide evidence for the Macro-Sudan Belt as a unified phonological area
- Instead, we find evidence for smaller linguistic micro-areas

- South of Lake Chad
- The Nigeria/Cameroon border
- The Ghana/Togo/Burkina Faso cluster
- The Kru/Mande Zone

### Overview

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### The ALFA Project is the work of 11 linguists at UC Berkeley

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- Tone
- ATR systems
- Nasal vowels
- Syllable and word structure
- Verb extensions
- Serial verbs
- S AUX O V

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- For each feature, we have created a database of languages coded for key properties

### Areal features

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  - Syllable and word structure
  - Verb extensions
  - Serial verbs
  - S AUX O V
- For each feature, we have created a database of languages coded for key properties
- We created a web application to map the languages based on these properties to identify areal and genetic patterns

### Language mapper

### Areal features

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- Language mapper (Ewert, 2015) is a web application
  - Input: spreadsheets of data, Glottolog language data (Hammarström et al., 2015)
  - Output: a Google map of language data letter-coded with genetic information and color-coded for linguistic features (Google, 2015)

### Tone database

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 We gathered tone data from a database of 662 tone languages (Hyman, 2015)

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243 African languages

### Tone database

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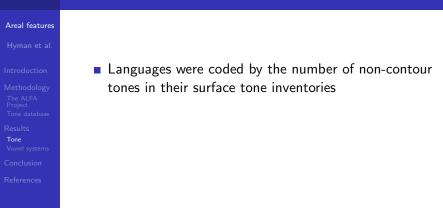
- We gathered tone data from a database of 662 tone languages (Hyman, 2015)
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- The data was recoded with a numerical system we designed to encode equivalencies across different traditions of representation

### Tone database

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- We gathered tone data from a database of 662 tone languages (Hyman, 2015)
  - 243 African languages
- The data was recoded with a numerical system we designed to encode equivalencies across different traditions of representation
- Our coding allowed us to look at numerous features of tone inventories including:
  - Number and identity of level tones
  - Number and identity of downstepped tones
  - Number, direction, and identity of contour tones



#### Areal features

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- Languages were coded by the number of non-contour tones in their surface tone inventories
- This count included downstepped tones as constituting a separate surface level

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- This count included downstepped tones as constituting a separate surface level
- The goal of this analysis was to separate languages based on the number of contrastive pitch levels they distinguish on the surface
- There are several micro-areas defined by the number of levels that languages distinguish in their surface tone inventories

### Number of surface level tones

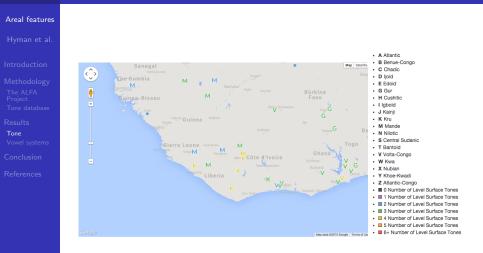
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### Number of surface level tones - Mande/Kru Zone



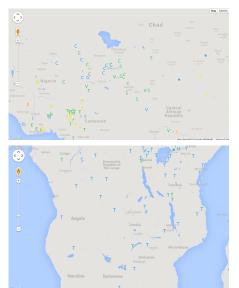
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# Number of surface level tones - Nigeria/Cameroon vs. Southern Bantu



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- A Atlantic
- B Benue-Congo
- C Chadic
- D ljoid
- E Edoid
- G Gur
- H Cushitic
- I Igboid
- J Kainji
- K Kru
- M Mande
- N Nilotic
- S Central Sudanic
- T Bantoid
- V Volta-Congo
- W Kwa
- X Nubian
- Y Khoe-Kwadi
- Z Atlantic-Congo
- I 0 Number of Level Surface Tones
- I Number of Level Surface Tones
- 2 Number of Level Surface Tones
- 3 Number of Level Surface Tones
- 4 Number of Level Surface Tones
- 5 Number of Level Surface Tones
- B 6+ Number of Level Surface Tones

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### Number of surface level tones - Summary



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### Number of surface level tones - Summary

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- Mande languages near Kru have more surface levels
- Bantoid languages near the Nigeria/Cameroon border have more levels that Bantu languages in southern Africa

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- Mande languages near Kru have more surface levels
- Bantoid languages near the Nigeria/Cameroon border have more levels that Bantu languages in southern Africa

- There are three areas of three level tones
  - Ghana/Togo/Burkina Faso
  - South of Lake Chad
  - Ethiopia/Sudan

## African languages with three surface level tones

#### Areal features

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As seen in the previous maps of number of surface level tones across African languages, the most common number of levels is 3, especially in the Macro-Sudan belt.

# African languages with three surface level tones

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- As seen in the previous maps of number of surface level tones across African languages, the most common number of levels is 3, especially in the Macro-Sudan belt.
- The following maps show only those African languages which have exactly three level tones, differentiating them by which tones are in their inventories:

- Low, Mid, High
- Low, !High, High
- Low, !Low, High
- Low, High, Superhigh

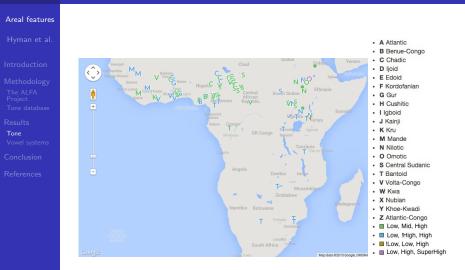
# African languages with three surface level tones

### Areal features

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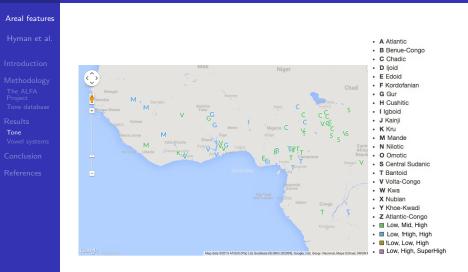
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  - Low, Mid, High
  - Low, !High, High
  - Low, !Low, High
  - Low, High, Superhigh
- We recognize that which three levels a given language has is often a matter of analysis.

### Three surface level tones



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### Three surface level tones - West Africa



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### Three surface level tones - Summary



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- Languages in Southern Africa have downstep.
- Bantoid languages nearer the Nigeria/Cameroon border are more likely to have a mid tone.

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### Three surface level tones - Summary

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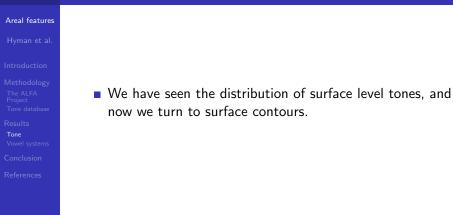
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• Languages south of Lake Chad have a mid tone.

# African languages by number of surface contour tones



# African languages by number of surface contour tones

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- We have seen the distribution of surface level tones, and now we turn to surface contours.
- We find that high numbers of contour tones are found in the Kru/Mande zone of southern West Africa.

# African languages by number of surface contour tones

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 There are also marked areas that lack contour tones entirely.

### Number of surface contour tones

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## Number of surface contour tones - West Africa

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# Number of surface contour tones - Mande/Kru Zone

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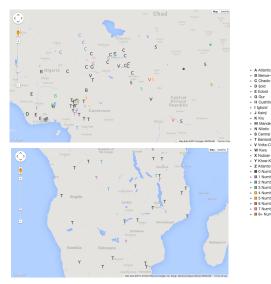


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# Number of surface contour tones -Nigeria/Cameroon vs. Southern Bantu

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 B Benue-Congo · C Chadio D ljoid E Edold • G Gur H Cushitio I laboid J Kainii K Kru M Mande N Nilotio S Central Sudanic T Bantoid V Volta-Conoo W Kwa X Nubian Y Khoe-Kwadi Z Atlantic-Congo I 0 Number of Contour Surface Tones I Number of Contour Surface Tones 2 Number of Contour Surface Tones I 3 Number of Contour Surface Tones 4 Number of Contour Surface Tones 5 Number of Contour Surface Topes 6 Number of Contour Surface Tones 7 Number of Contour Surface Tones B+ Number of Contour Surface Tones

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There is a high concentration of numerous contour tones in the Kru/Mande-zone, but not in Mande languages further away.

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- There is a high concentration of numerous contour tones in the Kru/Mande-zone, but not in Mande languages further away.
- There are sporadic languages with high numbers of contours throughout the rest of the Macro-Sudan belt (cf. Central Sudanic).

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- There are sporadic languages with high numbers of contours throughout the rest of the Macro-Sudan belt (cf. Central Sudanic).
- Bantoid languages on the Nigeria/Cameroon border tend to have more contours than Bantu languages in southern Africa
- There are two regions that lack contour tones entirely
  - Ghana/Togo/Burkina Faso
  - South of Lake Chad

# Are languages with a high number of level tones more likely to have a high number of contours?



# Are languages with a high number of level tones more likely to have a high number of contours?

#### Areal features

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- The Ghana/Togo/Burkina Faso cluster is an area of 3-level tones that lacks contours
- Central Sudanic languages have 3 level tones and most have no contours

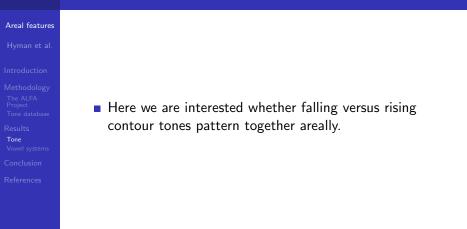
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- The Ghana/Togo/Burkina Faso cluster is an area of 3-level tones that lacks contours
- Central Sudanic languages have 3 level tones and most have no contours
- The Kru/Mande zone has high numbers of level tones and contours

# African languages by direction of contour tones



# African languages by direction of contour tones

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- Here we are interested whether falling versus rising contour tones pattern together areally.
- We find little evidence that the direction of contours is an areal feature; however, the Kru/Mande zone is the only area where multiple languages show complex contours.

### Direction of surface contour tones



#### Hyman et al



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# Direction of surface contour tones - Mande/Kru Zone

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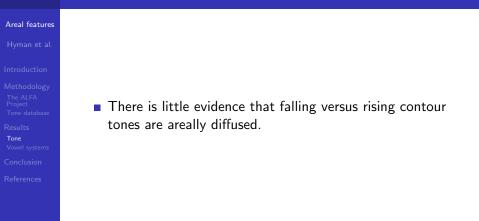
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# Direction of surface contour tones - Summary



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- There is little evidence that falling versus rising contour tones are areally diffused.
- The Kru/Mande Zone is the only region with more than one language that has complex contours; this correlates with a high number of surface tones.

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# African languages by surface downstepped tones

#### Areal features

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The final tonal feature we examine is the distribution of surface downstepped tones.

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# African languages by surface downstepped tones

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 The final tonal feature we examine is the distribution of surface downstepped tones.

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 We see a high concentration of downstep across the Macro-Sudan belt, with a break between central Cameroon and east South Sudan.

# African languages by surface downstepped tones

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- The final tonal feature we examine is the distribution of surface downstepped tones.
- We see a high concentration of downstep across the Macro-Sudan belt, with a break between central Cameroon and east South Sudan.
- Alternatively, we see two micro areas of downstep:
  - West Africa between Côte d'Ivoire and west Cameroon

 East Africa between South Sudan and nothern Rwanda/Kenya

# Surface downstepped tones

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# Surface downstepped tones - Nigeria/Cameroon vs. Southern Bantu

# Areal features

- Tone



- A Atlantic
- B Benue-Congo
- · C Chadic
- D ljoid
- E Edoid
- F Kordofanian
- · G Gur - H Cushitic
- Lippoid
- J Kainii
- K Kru
- M Mande
- N Nilotic
- · O Omotic
- S Central Sudanic
- T Bantoid
- V Volta-Congo
- W Kwa
- X Nublan
- Y Khoe-Kwadi
- Z Atlantic-Congo
- Has tones Low, Mid. High
- Has tones Low, Mid
- Has tones Mid, High Has tones Low. High
- Has tones ILow
- Has tones (Mid)
- Has tones !High
- Does not match other tone groups.

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The highest concentration of downstep is in West Africa between Côte d'Ivoire and the Nigeria/Cameroon border.

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- The highest concentration of downstep is in West Africa between Côte d'Ivoire and the Nigeria/Cameroon border.
- Bantoid languages on the Nigeria/Cameroon border have downstep while the Bantu languages in our database do not, showing evidence for the areal distribution of downstep in West Africa.

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#### Areal features

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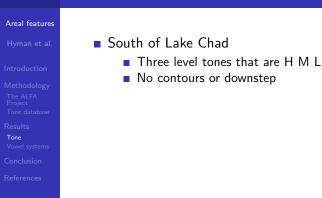
Languages south of Lake Chad lack downstep

#### Areal features

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- The highest concentration of downstep is in West Africa between Côte d'Ivoire and the Nigeria/Cameroon border.
- Bantoid languages on the Nigeria/Cameroon border have downstep while the Bantu languages in our database do not, showing evidence for the areal distribution of downstep in West Africa.
- Languages south of Lake Chad lack downstep
- Languages with many surface level tones and contours tend to lack downstep (Kru, Mande)

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- South of Lake Chad
  - Three level tones that are H M L
  - No contours or downstep
  - The Nigeria/Cameroon border
    - More surface levels and contours than Bantu languages in southern Africa

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At least on downstepped tone



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- South of Lake Chad
  - Three level tones that are H M L
  - No contours or downstep
  - The Nigeria/Cameroon border
    - More surface levels and contours than Bantu languages in southern Africa

- At least on downstepped tone
- The Ghana/Togo/Burkina Faso cluster
  - Three surface level tones
  - No contours
  - Downstep



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- South of Lake Chad
  - Three level tones that are H M L
  - No contours or downstep
  - The Nigeria/Cameroon border
    - More surface levels and contours than Bantu languages in southern Africa

- At least on downstepped tone
- The Ghana/Togo/Burkina Faso cluster
  - Three surface level tones
  - No contours
  - Downstep
- The Kru/Mande zone
  - Many surface level tones and contours
  - Complex contour tones

# A closer look at tone as a areal feature in the $\rm Kru/Mande$ Zone

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 Proto-Mande is reconstructed with two level tones (Vydrin, 2002).

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## Areal features

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 Proto-Mande is reconstructed with two level tones (Vydrin, 2002).

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 Proto-Kru is reconstructed with four level tones (Marchese, 1979; Marchese Zogbo, 2012).

### Areal features

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- Proto-Mande is reconstructed with two level tones (Vydrin, 2002).
- Proto-Kru is reconstructed with four level tones (Marchese, 1979; Marchese Zogbo, 2012).
- We have seen that those Mande languages situated nearest to Kru have large tonal inventories, while those further north do not.

## Areal features

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- Proto-Mande is reconstructed with two level tones (Vydrin, 2002).
- Proto-Kru is reconstructed with four level tones (Marchese, 1979; Marchese Zogbo, 2012).
- We have seen that those Mande languages situated nearest to Kru have large tonal inventories, while those further north do not.
- These findings are consistent with Vydrin (2009), who shows the validity of the Mande/Kru Zone as a linguistic area based on multiple features, including the number of tone heights.

#### Areal features

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- Kru tone heights:
  - Niaboua (Western Wè) 4 (Bentinck, 1978)
  - Kouya 4 (Saunders, 2009)
  - Guéré (Southern Wè) 4 (Paradis, 1983)

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- Mande tone heights:
  - Dan 5 (Bearth and Zemp, 1967)
  - Toura 4 (Bearth, 1971)
  - Mahou 3 (Creissels, 1988)

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- Kru tone heights:
  - Bassa 3 (Hobley, 1964) or 4 (Bertkau, 1975)
  - Krahn 3 (Duitsman)
  - Niaboua (Western Wè) 4 (Bentinck, 1978)

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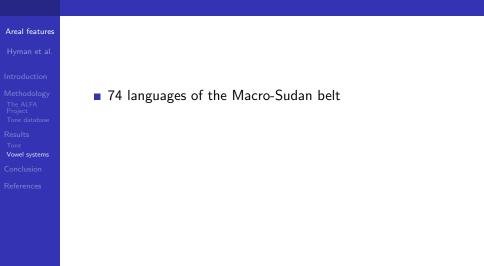
- Mande tone heights:
  - Dan 5 (Bearth and Zemp, 1967)
  - Mano 3 (Kachaturyan, 2014)
  - Kpelle 3 on the surface but only 2 phonemically (cf. Konoshenko 2011 for an overview)

# Further phonological evidence of micro-areas in Africa

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Areal features	
	Other phonological features that show preliminary
	evidence for micro-areas in Africa:
	ATR harmony
Vowel systems	Central vowels
	Nasal vowels
	Syllable and word structure

## Vowel features



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## Vowel features

## Areal features

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- 74 languages of the Macro-Sudan belt
- Coded for vowel inventory, nasality, ATR harmony

## Vowel features

## Areal features

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- 74 languages of the Macro-Sudan belt
- Coded for vowel inventory, nasality, ATR harmony
- Here we focus specifically on ATR systems and the inventory of 'interior' vowels
  - We define an ATR system as exhibiting an active harmony process
  - 'Interior' vowels are defined as central vowels, except /a/, and back unrounded vowels

## African languages by ATR and Interior Vowels

#### Areal features

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 The literature is not in agreement about whether Proto-Niger-Congo had ATR harmony (cf. Dimmendaal 2001 for an overview)

## African languages by ATR and Interior Vowels

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- The literature is not in agreement about whether Proto-Niger-Congo had ATR harmony (cf. Dimmendaal 2001 for an overview)
- There is evidence for an area of the Macro-Sudan belt lacking ATR harmony

## African languages by ATR and Interior Vowels

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- The literature is not in agreement about whether Proto-Niger-Congo had ATR harmony (cf. Dimmendaal 2001 for an overview)
- There is evidence for an area of the Macro-Sudan belt lacking ATR harmony
- With more data, we may find that those languages that lack ATR systems are more likely to have interior vowels, which are not reconstructed for Proto-Niger-Congo and thus are likely an innovation

## ATR and Interior Vowels

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## ATR and Interior Vowels - Summary

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 Many languages at the Nigeria/Cameroon border and south of Lake Chad have interior vowels but lack active ATR systems

## ATR and Interior Vowels - Summary

## Areal features

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- Many languages at the Nigeria/Cameroon border and south of Lake Chad have interior vowels but lack active ATR systems
- These data suggest a tendency toward a complementary distribution of vertical and horizontal expansions of vowel systems

## ATR and Interior Vowels - Summary

## Areal features

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- References

- Many languages at the Nigeria/Cameroon border and south of Lake Chad have interior vowels but lack active ATR systems
- These data suggest a tendency toward a complementary distribution of vertical and horizontal expansions of vowel systems
- Future work will include adding more languages that exhibit ATR harmony to the database to investigate this hypothesis

## Nasal Vowels

#### Areal features

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 Based on data from 473 languages, Rolle (2015) finds evidence for five micro areas in West Africa having only oral, not nasal, vowels

## Nasal Vowels

## Areal features

- Hyman et al.
- Introduction
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- Tone Vowel systems
- Conclusion
- References

- Based on data from 473 languages, Rolle (2015) finds evidence for five micro areas in West Africa having only oral, not nasal, vowels
- Three of these micro areas match the micro areas that exhibit areal tonal patterns

- Ghana/Togo/Burkina Faso cluster
- South of Lake Chad
- Nigeria/Cameroon border

## Syllable and word structure

Areal features	

 Based on data from roughly 50 languages, Lamoureux and Lionnet (2015) find preliminary evidence for a micro area in the Kru/Mande Zone lacking codas

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## Syllable and word structure

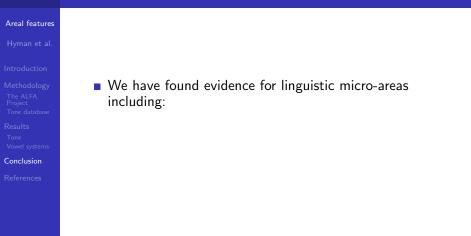
## Areal features

- Hyman et al.
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- References

 Based on data from roughly 50 languages, Lamoureux and Lionnet (2015) find preliminary evidence for a micro area in the Kru/Mande Zone lacking codas

 The Nigeria/Cameroon border appears to be less restrictive with respect to possible codas

## Is Africa a linguistic area?



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## Is Africa a linguistic area?

## Areal features We have found evidence for linguistic micro-areas including: South of Lake Chad The Nigeria/Cameroon border The Ghana/Togo/Burkina Faso cluster Conclusion The Kru/Mande zone

## Is Africa a linguistic area?

## Areal features We have found evidence for linguistic micro-areas including: South of Lake Chad The Nigeria/Cameroon border The Ghana/Togo/Burkina Faso cluster Conclusion The Kru/Mande zone

We do not find evidence for the Macro-Sudan Belt as a cohesive area with respect to tone and vowel systems

## Implications for reconstruction

#### Areal features

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While certain phonological features are common in many areas across Africa, we find evidence for micro-areas rather than a widespread distribution of each feature

## Implications for reconstruction

## Areal features

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- While certain phonological features are common in many areas across Africa, we find evidence for micro-areas rather than a widespread distribution of each feature
- The areal distribution of the features we have examined suggests innovation rather than conservation within families

## Implications for reconstruction

## Areal features

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- While certain phonological features are common in many areas across Africa, we find evidence for micro-areas rather than a widespread distribution of each feature
- The areal distribution of the features we have examined suggests innovation rather than conservation within families
- If these features have been independently innovated in multiple micro-areas, this could inform our typology regarding ease of innovation

## Future work

## Areal features

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## For the tone project:

More Atlantic data, more data from Southern Africa

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Context-sensitive tonal processes

## Future work

## Areal features

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- For the tone project:
  - More Atlantic data, more data from Southern Africa
  - Context-sensitive tonal processes
- For ALFA:
  - S AUX O V
  - Serial verbs
  - Syllable and word structure
  - Are there overlapping micro areas?
  - Is there statistical evidence for micro areas?
  - What can these micro areas tell us about language contact and human prehistory in Africa?

## Acknowledgements

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We would like to thank the members and guests of the Spring 2015 Linguistics 270 course at UC Berkeley for helpful discussion and feedback and for their participation in the ALFA project, and Marcus Ewert for his work in developing the language mapper web application. We also appreciate the financial support of the UC Berkeley Department of Linguistics, the UC Berkeley Graduate Division, and the UC Berkeley Mellon Project Grant.

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